

FILING COMPLETION UNDER 37 CFR 52, 53, and/or 85

In re Application of: **Holt et al.**

Attention: **Application Branch**

Serial No.: **10/032,829**

Date: **April 25, 2002**

Filed: **December 19, 2001**

Attorney Docket No.: **KCX-454 (17074)**

Confirmation No.: **6183**

Art Unit: **2167**

Title: **Spindle System, Apparatus, and Methods for
Applying Spindle Apparatus**

Our Account No.: **22827**

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Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

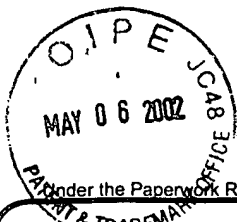
Sir:

The following completes the filing of the above-identified patent application under Rule 52, 53, and/or 85:

- ☒ Rule 53 signed Declaration enclosed.
- ☐ This is a Rule 47 filing per attached Petition.
- ☐ Enclosed: Original signed Declaration with attached specification (including claims) which is a copy of specification/claims originally filed to secure above filing date.
- ☐ Specification originally filed in non-English language; hence verified translation attached of:
- ☐ Abstract ☐ ___ pages of Specification (only spec. & claims) ☒ Drawing Figs.
- ☒ 16 sheet(s) of formal drawings enclosed: size: ☐ A4 ☐ 14" ☐ 8½ by 11
- ☒ Attached is an assignment to Kimberly-Clark Worldwide, Inc.
- ☐ Priority is hereby claimed under rule 55 and 35 U.S.C. 119 based on prior foreign application(s) no(s).
_____, filed in _____ on _____, respectively.
- Certified copy (copies) ☐ attached; ☐ already filed on _____ in U.S. Application, Serial No. _____, filed _____.
- ☐ "Small Entity" status under Rules 9 & 27 claimed. ☐ Attached: Verified Statement(s) establishing "small entity."
- ☒ Attached is the request return copy of the PTO Notice under Rule 52, 53, and/or 85.
- ☒ Attached: Information Disclosure Statement and List with Reference (I)
- ☐ Preliminary Amendment: _____

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED
PER MPEP § 506 AND § 607**

If not earlier paid, add Basic Filing Fee	\$	740.00
Total Effective Claims <u>81</u> - 20 = <u>61</u> x \$18.00 =	\$	1098.00
Independent Claims <u>8</u> - 3 = <u>5</u> x \$84.00 =	\$	420.00
If <u>any proper</u> multiple dependent claim (ignore improper) is present, add \$280.00	\$	



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PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 2428.00

Complete if Known

Application Number	10/032,829
Filing Date	12/19/2001
First Named Inventor	Holt et al.
Examiner Name	Not known
Group Art Unit	2167
Attorney Docket No.	KCX-454 (17074)

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 04-1403

Deposit Account Name: Dority & Manning, Attorneys at Law, P.A.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 740.00)

2. EXTRA CLAIM FEES

Total Claims: 81
Independent Claims: 8
Multiple Dependent: 73

Extra Claims: 61
Fee from below: 18.00
Fee Paid: 1098.00

Extra Claims: 5
Fee from below: 84.00
Fee Paid: 420.00

Multiple Dependent: 0.00

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$) 1518.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES					
Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John E. Vick, Jr.	Registration No. (Attorney/Agent)	33,808
Signature		Telephone	(864) 271-1592
		Date	04/25/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. The amount of time you are required to complete this form should be sent to the Chief, depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: U.S. Patent and Trademark Office, Washington, DC 20231.

(3)



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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

MAY 06 2002

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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,829	05/19/2001	Mary Rece Holt	KCX-454 (17074)

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CONFIRMATION NO. 6183

FORMALITIES LETTER

OC000000007535937

John E. Vick, Jr.
Dority & Manning
Attorneys at Law, P.A.
P.O. Box 1449
Greenville, SC 29602

Date Mailed: 02/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/07/2002 10:02 AM MOHAMMAD 00000007 10002829

FILED UNDER 37 CFR 1.53(b)

01 FC: 140.00 \$
02 FC: 100.00 \$
03 FC: 420.00 \$
04 FC: 100.00 \$

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- o Total additional claim fee(s) for this application is \$1518.
 - \$1098 for 61 total claims over 20.
 - \$420 for 5 independent claims over 3.
- o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- o **The balance due by applicant is \$ 2388.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- o Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);



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PATENT

ATTORNEY DOCKET NO.: KCX-454 (17074)

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Holt et al.) Group Art Unit: 2167
Serial No.: 10/032,829) Examiner: Not known
Filed: December 19, 2001) Our Cust. No.: 22827
Title: Spindle System, Apparatus, and)
Methods for Applying Spindle Apparatus

Commissioner of Patent and Trademarks
Washington, D.C. 20231

TRANSMITTAL LETTER

Dear Sir:

We are enclosing the attached documents as listed below:

- (1) Filing Completion Form
- (2) Fee Transmittal Sheet
- (3) Requested Return Copy of PTO Notice
- (4) Recordation Form Cover Sheet
- (5) Assignments
- (6) Declarations
- (7) Formal Drawings
- (8) Information Disclosure Statement and List with Reference (1)

Respectfully requested,

DORITY & MANNING, P.A.

John E. Vick, Jr.
Registration No. 33,808

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